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| 10/766,561 | 01/29/2004 | Asako Koike | ASAM.0101 | 4049 |
| <div>7590 12/18/2007 REED SMITH LLP 3110 Fairview Park Drive, Suite 1400 Falls Church, VA 22042</div> | | | <div>EXAMINER PADMANABHAN, KAVITA</div> <div>ART UNIT 2161</div> <div>PAPER NUMBER</div> | |
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/766,561

Applicant(s)

KOIKE ET AL.

Examiner

Kavita Padmanabhan

Art Unit

2161

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 01 October 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-17 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-17 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 29 January 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
- 1) ☒ Certified copies of the priority documents have been received.
 - 2) ☐ Certified copies of the priority documents have been received in Application No. _____.
 - 3) ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Status of Claims

1. Claims 1-17 are pending.
2. Claims 1, 12, and 16 have been amended.
3. Claims 1-17 are rejected.

Claim Rejections - 35 USC § 112

4. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

5. **Claims 11 and 12** are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

With respect to **claim 11**, the limitation “other *external* information about said terms” does not appear to be described in the applicant’s original specification. Applicant has pointed to Fig. 12 and page 22, line 27-page 23, line 22 of the specification as containing support for this limitation; however the examiner respectfully asserts that the subject matter described does not appear to be commensurate in scope with this limitation. Specifically, the concept of “external” information about the terms is never mentioned.

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With respect to **claim 12**, the limitation “associated concept relationships and upper concept relationships” does not appear to be described in the applicant’s original specification. Applicant has pointed to page 19, line 17-page 20, line 4 of the specification as containing support for this limitation; however the examiner respectfully asserts that the subject matter described does not mention concept relationships or upper concept relationships.

6. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

7. **Claim 12** is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 12 recites the limitation "said terms" in line 4 of the claim. There is insufficient antecedent basis for this limitation in the claim.

Claim 12 recites the limitation “said network to connect said queries is *drawn using associated concept relationships and upper concept relationships* thereof.” It is unclear what is meant by this limitation and what upper concept relationships are.

The examiner will apply prior art to this claim as best understood in light of the above rejection.

Claim Rejections - 35 USC § 102

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

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(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

9. **Claims 1-5, 7-9, 11, and 16-17** are rejected under 35 U.S.C. 102(b) as being anticipated by **Miller et al.** (US 2002/0091678, hereinafter “Miller”).

In regards to **claim 1**, **Miller** teaches a network drawing system, comprising:

- a first input unit designating a first query having terms belonging to a first category
(Miller; Figure 7, reference character S1; par [0052] – “*the user enters a set of query objects*”);
- a second input unit designating a second query having terms belonging to a second category (Miller; Figure 7, reference character S1; par [0052] – “*the user enters a set of query objects*” – plural means at least a first and a second query are entered and the different queries constitute different categories in that each query clearly belongs to its own category at the least);
- a data storage device storing terms belonging to a third category in a form of a table, the terms of the third category comprising terms from the first category and the second category (Miller; par [0045], lines 8-12; par [0054], lines 10-12 – “*The display may include information such as author, frequency tables for occurrence of selected terms in the query, probable status for the object corresponding to the point 54 vis-a-vis the query 33 occurring within the object, confidence factor and the like.*” – teaches tables including terms, probable statuses, confidence factors, etc. and the confidence factors are indicators of a degree of an association);

- a calculation device which calculates a relationship between the input first query and second query through a plurality of terms using the table stored in said data storage device, the table including a degree of association of a relationship between terms belonging to the third category (Miller; par [0054], lines 10-14; par [0055] – *“feature vectors have already been calculated”, “determines relationships between each of the data objects in the database and the query objects”* – relationships are determined via calculations); and
- a display device displaying on a screen a network of terms connecting the first query and the second query through a chain of the plurality of terms based on a result of calculation made by said calculation device (Miller; par [0056], Figs. 3 and 4 – *“the processor 20 projects the relationships calculated”* – the relationships are displayed through a plurality of the terms used in the queries).

In regards to **claim 2**, Miller teaches the network drawing system according to claim 1, further comprising

- a third input unit for designating a drawing condition (Miller; par [0043]; Fig. 7, steps S12, S13, S15); and
- said network being displayed according to said drawing condition (Miller; par [0043]; Fig. 4).

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In regards to **claim 3**, **Miller** teaches the network drawing system according to claim 1, wherein said data storage device further stores attributes of said terms (**Miller; pars [0031]-[0032], pars [0061] - [0062]**).

In regards to **claim 4**, **Miller** teaches the network drawing system according to claim 1, wherein at least one of said first query and said second query includes a plurality of query terms (**Miller; par [0032], lines 1-5**).

In regards to **claim 5**, **Miller** teaches the network drawing system according to claim 1, wherein among routes connecting said first query and said second query, a route having the highest degree of a relationship between the first and second category terms is displayed by a highlight line (**Miller; Figs. 3, 4, and 6**).

In regards to **claim 7**, **Miller** teaches the network drawing system according to claim 1, wherein the relationship between said terms is extracted according to co-occurrence between terms or phrase patterns (**Miller; par [0031], lines 1-6; par [0032], lines 5-8; par [0045], lines 6-12**).

In regards to **claim 8**, **Miller** teaches the network drawing system according to claim 2, wherein the network of the terms is re-displayed interactively by changing the setting of said third input unit (**Miller; par [0043]; par [0047]; par [0059]; Fig. 7, steps S12, S13, S15**).

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In regards to **claim 9**, **Miller** teaches the network drawing system according to claim 2, wherein the connection between the terms or editing for addition or deletion of a term itself can be conducted interactively by changing the setting of said third input unit (**Miller; par [0043]; par [0047]; Fig. 7, steps S12, S13, S15**).

In regards to **claim 11**, **Miller** teaches the network drawing system according to claim 1, wherein the relationship between said terms is displayed on the screen at the same time with other external information about said terms (**Miller; Figs. 3, 4, and 6**).

Claim 16 is rejected using the same citations provided for claim 1.

In regards to **claim 17**, **Miller** teaches the network drawing method according to claim 16, wherein said data storage device is accessed through an Internet (**Miller; par [0026]; Fig. 2**).

Claim Rejections - 35 USC § 103

10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

11. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any

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evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

12. **Claim 10** is rejected under 35 U.S.C. 103(a) as being unpatentable over **Miller in view of Murray et al.** (US 6,876,930, hereinafter “Murray”).

In regards to **claim 10**, **Miller** teaches the network drawing system according to claim 1.

Miller does not expressly teach a synonym dictionary for converting at least one query input through said first input unit or said second input unit into a standardized term.

Murray teaches querying a database to identify synonyms for genes that are being queried and then proceeding with the search based on the input gene and its identified synonyms (**Fig. 10, steps 300-320**).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to implement the system of Miller by using a synonym dictionary to convert an input term into its synonyms, such as that taught by Murray, in order to be able to more accurately depict relationships between terms by using both the input term and its identified synonyms (**Murray; col. 28, lines 1-6**).

13. **Claims 6 and 12-15** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Miller in view of Chamberlin et al.** (US 6,941,317, hereinafter “Chamberlin”).

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In regards to **claim 6**, **Miller** teaches the network drawing system according to claim 1.

Miller does not expressly teach said first category being at least one of a disease name, a symptom, a protein name, a gene name, a compound name, a gene function and a protein's function; and said second category being at least one of the compound name, the protein name and the gene name.

Chamberlin teaches entering queries wherein the keywords are amino acid sequences, gene names, etc. (**Chamberlin; col. 15, line 52 – col. 16, line 28**), in order to perform searches, browse sequences, and examine and display relationships between genes (**Chamberlin; col. 14, lines 9-19**).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to implement the system of Miller using the biological queries of Chamberlin in order to be able to query databases containing biological data and display relationships between such data (**Chamberlin; col. 1, lines 25-32; col. 14, lines 9-19**).

In regards to **claim 12**, **Miller** teaches the network drawing system according to claim 1.

Miller does not expressly teach when said terms have hierarchies, said network to connect said queries being drawn using associated concept relationships and upper concept relationships thereof.

Chamberlin teaches displaying items in a hierarchical tree (**Chamberlin; Fig. 15**).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to implement the system of Miller using the hierarchical display feature of Chamberlin, whereby if terms have a hierarchical relationship, the system of Miller would indicate the

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hierarchy including upper concept terms in the graphical representation of the network in order to display relationships between biological data (**Chamberlin; col. 1, lines 25-32; col. 14, lines 9-19**).

In regards to **claim 13**, **Miller** teaches the network drawing system according to claim 1. **Miller** also teaches displaying information associated with a term on the display (**Miller; par [0045], line 1 – par [0046], line 5; par [0061] – par [0062]**).

Miller does not expressly teach said second category being a gene name, and said gene name being displayed along a horizontal axis of said screen, and a lod score generated from a linkage analysis of said result of calculation made by said calculation device being displayed for each gene of the horizontal axis or together with information on a chromosome position.

Chamberlin teaches entering queries wherein the keywords are amino acid sequences, gene names, etc. (**Chamberlin; col. 15, line 52 – col. 16, line 28**), a display depicting relationships between sequences (**Chamberlin; Fig. 15**), and displaying a “log odds score” (**Chamberlin; Fig. 11; col. 16, lines 4-10**).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to implement the system of Miller with the biological data of Chamberlin, whereby the second query term is of the gene name category, and the gene name and log odds score information is displayed with the graphical representation of the network in order to display information about and relationships between biological data (**Chamberlin; col. 1, lines 25-32; col. 14, lines 9-19**).

In regards to **claim 14**, **Miller** teaches the network drawing system according to claim 1.

Miller does not expressly teach the relationship between said terms being displayed together with a result of gene clustering based on gene attributes, wherein the first query or second query is at least a gene with attributes.

Chamberlin teaches displaying the relationships between genes and protein sequences and also teaches displaying families of sequences (**Chamberlin; Fig. 11; Fig. 15**), which constitutes clustering or grouping.

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to implement the system of **Miller** using the display feature of **Chamberlin**, whereby relationships between terms would take into account familial and evolutionary relatedness, in order to display relationships between biological data (**Chamberlin; col. 1, lines 25-32; col. 14, lines 9-19**).

In regards to **claim 15**, **Miller** teaches the network drawing system according to claim 1. **Miller** also teaches highlighting a route connecting different items, i.e. terms that do not match with each other (**Miller; Fig. 4, Fig. 6**).

Miller does not expressly teach when a result of displaying the network is not consistent with a result of the gene clustering, a route connecting the first query and the second query from the result of said calculation which are from a result of mis-clustering is displayed by a highlight line.

Chamberlin teaches displaying the relationships between genes and protein sequences and also teaches displaying families of sequences (**Chamberlin; Fig. 11; Fig. 15**), which constitutes clustering or grouping.

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to implement the system of Miller using the biological data of Chamberlin, whereby when there is not an exact match found because of inadequate clustering, a nearest match could be highlighted on the display to indicate relationships between biological data (**Miller, par [0049]; Chamberlin, col. 1, lines 25-32, col. 14, lines 9-19**).

Response to Amendment

14. Applicant's amendments filed 10/1/07 with respect to the 35 U.S.C. 112, 1st paragraph rejections have been fully considered. While certain rejections have been withdrawn, others have been maintained, as explained above.

15. Applicant's amendments filed 10/1/07 with respect to the 35 U.S.C. 112, 2nd paragraph rejection have been fully considered. The rejection of claim 12 has been maintained.

Response to Arguments

16. Applicant's arguments filed 10/1/07 with respect to the rejections of the claims have been fully considered but they are not persuasive.

Applicant argues that the confidence factors taught by Miller are not an indication of a degree of association, as claimed. The examiner respectfully disagrees. The examiner asserts that the term "degree of association of a relationship" is not explicitly defined in the applicant's

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original specification and the examiner is required to give the term its broadest reasonable interpretation. Clearly, a confidence factor in the context of Miller's system of visually depicting a comparison of query objects can be interpreted as a degree of association in the context of the claims.

The remaining arguments have been addressed in the previous Office Action, mailed 6/29/07, which the applicant is respectfully referred to for further clarification.

Conclusion

17. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

18. Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Kavita Padmanabhan** whose telephone number is **571-272-8352**. The examiner can normally be reached on Monday-Friday, 9:00am-5:30pm.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Apu Mofiz can be reached on 571-272-4080. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Kavita Padmanabhan
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KP.

December 12, 2007



ETIENNE LEROUX
PRIMARY EXAMINER